

### Third Appendix to Volume I.

Analytical exposition  
of the Fugue in Beethoven's Sonata, Op. 106. N.B.

#### 1st Part: A. = Exposition.

The musical score consists of three staves of music. Staff 1 starts with 'Theme (1)' followed by 'Motive A', 'Motive B', and 'B'. Staff 2 starts with 'crescendo' followed by 'Extension of the theme (free)'. Staff 3 starts with 'Motive(a)' followed by 'Countersubject I', 'Motive(b, 1)', and '(contraction of b, 1)'. The score is labeled 'free extension'.

**N.B.** We should not regard the study of the piano-fugue (which is, in fact, the chief aim of the Well-tempered Clavichord) as completely concluded, without a mention of the climax of all piano-fugue composition, the last movement of Beethoven's opus 106—a work of elementary power.

By the illumination of its formal structure, light is also thrown on its conception; nothing in this fugue is so obscure and turbid as to explain its undeniable unpopularity. (We should rather ascribe it to the immanent feeling of unrest—the lack of pleasurable ease.)

Only frequent and finished performance, and the provision of a complete view of Beethoven's intellectual procedure, can make head against, and possibly overcome, this unpopularity. The editor has tried both ways, in order to lift this piece, decried as "ugly and unpianistic" but really masterful and full of genius, to its rightful place before the public. In this he has merely followed Hans von Bülow, whose model edition of this Fugue is supplemented here in one direction.

(1) The theme comprises only 6 measures. Of this we furnish two proofs: (1) In the course of the Fugue, the theme is never exactly reproduced beyond the sixth measure; (2) the "canon canerizans" in the third part, begins the theme with the sixth measure.

Theme

Theme has 3 motives:

Motive A.

Motive B.

Motive C.

Motive C can be subdivided thus:

Motive c. 1.

c. 2.

c. 3.

(2) In this Fugue, the movement in sixteenths in itself, without reference to the succession of its intervals, is regarded as thematic. At the same time, a special type of the diatonic passages is retained.

\* (to meas. 17). This is employed in three forms:

C.S.I.

Countersubject II.  
Motive a.  
Th. f. sf

Episode: Sequence-like imitations of C.S.I.b. 2  
C.S.II.b.

C.S.I.b 2

Motive b. C.S.I.b 2 C.S.II.b. sf  
free extension.

in diminution.

in diminution. Th.A.

Free form of Th.C.

1st Part, B. = second Ex.

Th. A. sf Theme  
The rhythm anticipated by one

position (incomplete) in a remote key.

lept. at the tenth. Th. C. 3  
free counterpoint.  
sf C.S.I. Motive b.  
beat.

C.S.I.b. 2 with shifted  
rhythm.

thematic movem. in 16ths. sf  
sf Imitation of inner part. Imitation of soprano.

Answer in the Dominant of the foreign key. The rhythm

in dimin.

Th.

C.S.II.

C.S.I.

in dimin.

belated by one beat.

Contrapuntal inversion of the last two measures.

Six successive imitations of the last measure (modulatory sequence)  
(Inversion...)

Th.

C.S.I.b.

Th.A

f  
ben marcato

Th.A

imit.

### 1st independent Episode.

(Divertimento.)

Motive @

dimin.

Motive @

dimin.

Motive @

cresc.

Transformat. of

of the Soprano.

M. @

M. @

cresc.

Transformat. of

of the Soprano.

## 2nd Part. Augmentation.

Counter-subj. I and II in augment., set in one part.

Theme in augmentation (doubled values).  
12 measures.

In, in cpt. at the tenth.

Fragment

of a stretto, in contrary motion and augmentation, between Soprano and Bass. Stretto-like play with thematic motive

A musical score page showing two staves. The top staff is for the orchestra, featuring multiple parts including strings, woodwinds, and brass. The bottom staff is for the piano. Measure 11 begins with a forte dynamic. Measure 12 starts with a piano dynamic. The piano part includes a label '(Domin. Answ.)' and 'Th.' under a bass note.

(Th. A) in augmentation.

### **Second independent episode.**

(Symmetrical counterpart to 1st Episode.)

(Symmetrical counterpart to 1st Episode.)

10  
p (C)  
cresc.  
sf  
f  
(A B C D E F G H)

### Sequence of 4 separate measures.

### Inversion in parts of Sequence; Soprano

Sequence of 4 separate motifs

①

Inversion in parts of Sequence, soprano

Transformation of ⑥

and Alto imitating (3-meas.) Extension of 1-meas. sequence-motive to 2 measures. Four repetitions of the same.



Imitation of the preceding 4 beats, and transition.



### 3rd Part. Canon cancerizans.

New Counter-subject: (III.)

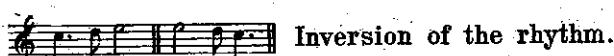
matic movem. in 16ths.

Answer in the relative key.

Development with Theme-fragments in the Canon cancerizans.

Th.B. in Canon cancer.

(1) That is, inversion of the succession of the tones, retaining their original time-value and the intervals. E.g.:



(2) F# is both the last note of counter-subject III, and the first of the theme.

(3) This counterpoint, a rhythmic variation of C. S. III, enters before the latter, and thus forms a unique canon.

Sequence. 11 repetitions of  
a 1-meas. formula.

Th. B. in the contr. motion of the  
canon cancerizans.

Th. B. in the contr. motion of the Original.

This section shows 11 repetitions of a 1-measure formula for Th. B. in the canon cancerizans. The formula consists of eighth-note patterns in the upper voices and sixteenth-note patterns in the lower voices. The dynamics are marked 'cresc.' and 'f'.

Th. B. in the canon caner.

Imitative variants.

Contraction.

This section shows imitative variants and contraction of the sequence formula. The music continues with eighth-note and sixteenth-note patterns, with dynamics 'sf' and 'f'.

free transition (3 meas.)

New counterpoint in

This section shows a free transition (3 measures) followed by new counterpoint. The music features eighth-note and sixteenth-note patterns with dynamics 'sf' and 'f'.

*ff* Theme in the original form.

Augm. of last  
link of counter-  
point in the Alto.

This section shows augmentation of the last link of counterpoint in the Alto. The music features eighth-note and sixteenth-note patterns with dynamics 'sf' and 'f'. A note 'N.B.' is present in the bass line.

N.B. Sequence-like progression of 5th thematic measure.

4th Part. Inversion.

Theme in Contrary motion.

This section shows the 4th Part. Inversion with theme in contrary motion. The music features eighth-note and sixteenth-note patterns with dynamics 'dolce', 'cresc.', 'sf', 'ff', and 'f'. A note 'N.B.' is present in the bass line.

Continuation of the themat. Sequence in the inner part.

C.S.I. contr. mot.

This section shows continuation of the themat. Sequence in the inner part. The music features eighth-note and sixteenth-note patterns with dynamics 'sf' and 'f'. A note '(free)' is present in the bass line.

N.B. Meas. 6 of theme is omitted here.

Variation of C.S.I.  
in contr. motion.

Theme in contr. motion in remote key. Parallel passage to 1st Part, B (incomplete). Free continuation.

1) Diminution (of Th.A. to one-half of time-value.)

Free semi-cadence.

(1) Bülow regards the trills as "a triple diminution of the theme." If this were the case, the notes in the *aufzakt* would have to be sixteenths. We perceive, consequently, only a simple diminution (the half of the original note-value), and regard the trills as quarter-notes abbreviated by rests. An unabridged presentation of this passage would, therefore, probably be thus:

It was not written so, because of its impracticability on the pianoforte.

## 5th Part, A. Novation.

New Counter-subject (IV), at first as an independent fugue-theme.<sup>(1)</sup>

## Transition and Modulation.

## 5th Part, B. Double Fugato. (Return to original key.)

a tempo

Sequence with fragments of C. S. IV. and Theme B. The Bass in  $\frac{3}{4}$  time,

higher parts in  $\frac{3}{4}$  time.

## Contraction.

(1) A Fughetta in the Fugue; like a theatre on the stage, on which an independent piece is played in connection with, and affecting the plot of, the principal play.

## 5th Part, C. Stretto in direct and contrary motion.

Theme in direct motion, rhythm belated by 2 beats.

Theme in contrary motion, rhythm belated by one beat.

free

Th. in contrary motion.

Theme in direct motion.

N.B.

Direct motion.

Inversion.

Sequence (3 meas.)

Free inversion of the Sequence.

Another inversion of the Sequence.

Reëntry of Counter-subject I.

thematic.

1)

Inversion of the organ-point Episode.

Imitation of Bass (fragmentary)

(1) The dominant organ-point, which, as a rule, closes the fugue, is here only an alarm-signal preparatory to the appearance of the serious and final organ-point. Though lasting, for the ear, only through four measures, it really extends, for the mind, through twelve measures and two beats—if not even to the commencement of Part VI.

(2) The soprano is set here in two parts; in the fifth measure thereafter the bass is doubled in the octave. Imagine the passage for string-orchestra, the violins *divisi* in places, and the bass part taken by the 'cello and double-basses.

still closer (ascending)

Imit. (Soprano and Bass)  
Contracted repetition (descending)

## 6th Part. Conclusion.\*

Imitation (Sop. and Bass)  
(Th.)  
Harmonic torpor.  
(Suspension) Th.  
C.S.I.  
(Th.)

Idéa:  
Theme in direct and contrary motion simultaneously.  
eresc. - - - più cresc. - - - f  
C.S.I.  
Th.

Three-part stretto of themes A and B.  
(Idea:)

\* "At this point the so-called stretta begins," says Bülow with a mistaken choice of terms. In *contrapuntal* terminology, with which we now have to do, the *stretta* or *stretto* signifies a "close" leading of the theme in several parts. In the homophonic forms, on the other hand, we do, in point of fact, understand under the term "stretta" that portion of the Coda which, in accelerated tempo and intensified expression, "hastens" toward the close (*stringendo* = hastening; accelerating). The distinction between Coda and Stretta is, for example, very evident in the great *Leonora* overture.

(1) Here the soprano completes the theme interrupted in the alto, by taking up the sixth measure an octave higher.

Organ-point. C.S. I. and II set together in one part (see Part II, meas. 3 and 4).

C.S.I. (imit.)

Poco Adagio.

Rhythm of four quarter notes.

Idea:

\*) Here the polyphony, and therewith the Fugue proper, comes to an end. The coda now following, so brilliant and impetuous in pianistic effect and conception, closes what might be called the series composing the Sonata for Hammerclavier.